Inventor(s):

TODD, Stephen

Serial No:

Not yet assigned

Confirmation No.:

Filed:

Herewith

For:

METHODS AND APPARATUS FOR INDIRECTLY IDENTIFYING A RETENTION PERIOD FOR DATA IN A

STORAGE SYSTEM

CHECK BOX, if applicable

DUPLICATE

## **Fee Calculation Sheet**

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE		TE FEE	
	TOTAL CLAIMS (37 CFR 1.16(c))	73-20=	53x	\$	18.00	= \$	954.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	7-3=	4 x	\$	86.00	= \$	344.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) + \$			=.\$.			
	BASIC FEE (37 CFR 1.16(a))				\$	770.00	
	Fee for Petition for Extension of Time (if any)  Other Fees (if any)				\$	0.00	
,					\$	0.00	
	A STATE OF THE STA		Total of above (	Calcul	ations =	\$	2,068.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)			.\$	0.00		
	Assignment Recordation Fee (if any)				\$	40.00	
				. т	OTAL =	\$	2,108.00

1. A check in the amount of \$2,108.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

- 2. a. ⊠ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR
  §§ ☑1.16 or ☑ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
  - b. □ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ □1.16 □ 1.17 or □ 1.18.
- 3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Richard F. Giunta, Reg. No. 36,149

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210-2211

(617) 720-3500

Docket No. E0295.70201US00 Date: January 21, 2004

763935.1



## UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.	E0295.70201US00		
First Named Inventor or Application Identifier			
TODD, Stephen			
Express Mail Label No.	EV 292 458 682 US		
Date of Deposit	January 21, 2004		

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		
Fee Transmittal Form     (Submit an original, and a duplicate for fee processing)	7. □ CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)		
<ol> <li>Applicant claims small entity status. See 37 CFR 1.27.</li> </ol>	<ul> <li>8.   Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> <li>a.   Computer Readable Form (CRF)</li> </ul>		
3. ☒ Specification {Total pages 53}	b.   Specification Sequence Listing on:		
40 - pages description	i. □ CD-ROM or CD-R (2 copies); or		
1 - pages abstract	ii.   paper (identical to computer copy)		
12 - pages claims 73 - Total claims	c. Statement verifying identity of above copies		
4. X Drawing(s) (35 USC 113) [Total sheets 10] ACCOMPANYING APPLICATION PAR			
☑ Informal ☐ Formal [Total drawings 1-10]	9. Assignment Papers/cover sheet & documents(s)		
<ul> <li>5. ☒ Oath or Declaration [Total pages 2]</li> <li>a. ☒ Newly executed (original or copy)</li> <li>b. ☐ Copy from a prior application</li> </ul>	10. □ 37 CFR 3.73(b) Statement (when there is an assignee) □ Power of Attorney		
i. DELETION OF INVENTOR(S)	11. ☐ English Translation of Document (if applicable)		
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. ☐ Information Disclosure Statement PTO-1449 ☐ Copies of IDS Citations		
If 5b is checked the entire disclosure of prior applications,	13.   Preliminary Amendment		
Serial No.	14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
from which an oath or declaration is supplied, is considered as part of the disclosure of the accompanying application as is hereby incorporated by	15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)		
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	16. ☐ Request and Certification Under 35 U.S.C. §122(b)(2)(B))(ii)		
	17. □ Other:		
6. ☐ Application Data Sheet, See 37 CFR 1.76			

Attorney Docket No.: E0295.70201US00

18.	NOTE TO PRACTITIONERS: If a CONTINUING APPLICATION, supply the requisite priority or continuity
infor	mation in (1) the body of the application, or in a preliminary amendment, and (2) in an Application Data
Shee	et under 37 CFR 1.76.

	19. CORRESPONDENCE ADDRESS	· .
Correspondence add	ress below	
	CUSTOMER NUMBER: 23628	

20. SI	GNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Richard F. Giunta, Reg. No. 36,149	
SIGNATURE	Lecture Sinter	·
DATE	January 21, 2004	